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Challenges in calibrating hydrological models to simultaneously evaluate water resources and flood hazard: a case study of Zou basin, Benin

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Under climate and land use changes, water cycle is expected to be intensified. This will likely result in frequent hydrometeorological extremes events such as droughts and floods, and affect the water balance components. A distributed model (WaSiM) was calibrated and validated to evaluate water resources and flood hazard in the Zou catchment, Benin, for the period 1991–2009. The model was calibrated and validated at a threshold of 120 m^3 /s and its performance in simulating lower discharge was evaluated. The results show that the model was able to satisfactory simulate streamflow using different thresholds with the Kling and Gupta efficiency (KGE) between 0.5 and 0.85. The model performance decreases with increasing discharge threshold. The overall water balance predicted by the model is consistent with the hydroclimatic condition of the basin. The runoff coefficient varies between 15% and 18% (11% and 14% respectively) of the total annual rainfall during the calibration (validation) period. By considering the discharge above the threshold of $120 \frac{\text{m}^3}{\text{s}}$ the model performances were acceptable with regards to the uncertainties in discharge measurement mainly in peak discharge. Hence, the model is able to reproduce satisfactorily the hydrological processes in the study area and could be used for impact assessment.

Introduction

Changes in land use/land cover and climate systems are expected to speed up the water cycle. At the hydrological scale, potential impacts of these changes can be evaluated through watershed models. A number of hydrological model types have been developed in the last decades. The simplest type is the lumped model which describes the watershed as a single entity and it globalizes the hydrological process to its main features and has as input, mean or representative parameter values.

The more complex models are distributed models which consider spatial distribution of variables and reproduce the process in a watershed at a fine spatial resolution. In between these two types of models, are the semi-distributed models. These models subdivide the watershed into homogeneous units which are treated in a lumped manner. Lumped, semi-distributed or distributed models can be conceptual (meaning that the process description is not based on physical principles but on simplified descriptions) or physically based (most of its parameters are or can be derived from field measurement). Due to the increasing availability of data (soil, land use, DEM, etc.) and numerical facilities, more often distributed models are used. More details about hydrological modelling is given by Beven (2012). In recent years, distributed watershed models have been increasingly used to implement alternative management strategies for water resources allocation, flood control, land use and climate change impact assessments and pollution control (Shi et al., 2011). Before any impact study, models need to be calibrated and validated but this process is sometimes challenging due to different types of uncertainties. In addition, even if a model has been calibrated for a certain range of discharges, model output uncertainty is increasing when predictions are made outside this calibration range for extreme events. An experiment on 216 Australian catchments by Coron et al. (2012) indicated that "the transfer of model parameters in time may introduce a significant level of errors in simulations, meaning increased uncertainty in the various practical applications of these models (flow simulation, forecasting, design, reservoir management, climate change impact assessments, etc.)".

The calibration consists of getting the set of model parameters that outputs best match the observed data based on objective functions and visual inspection. The calibration of hydrological models can be done using manual or automatic approach or a combination of both methods. Depending on the optimization strategy and the objective function the optimum set of parameters automatically found may not necessarily reproduce the water balance component and the runoff components correctly. The modelling experiences and the author's knowledge on the basin are required and for that purpose, hybrid optimization strategies (manual and automatic calibrations) are recommended. Managing risk in the short term is one of the most important applications of rainfall-runoff modelling, particularly for flood forecasting which requires decisions to be made as to whether flood warnings should be issued on the basis of the data coming in from rain gauges, radar rainfall images, stream gauges and the model predictions as the event happens in "real time" (Beven, 2012). To assess the suitability of models to both well simulate water resources (water balance) and floods hazard (discharge at different thresholds), a distributed model (WaSiM)

Figure 1. Overview of the Ouémé and Atchérigbé Catchments. (a) Location of Benin in Africa; (b) Location of the Ouémé and Atchérigbé Catchments in Benin; (c) Atchérigbé Catchment, its relief and the climate stations.

(Schulla, 2012) has been considered. This model is widely used to assess water balance, climate change impact on hydrological cycle and water resources in West Africa (Kasei, 2009; Cornelissen et al., 2013). Besides, the WaSiM model is designed for flood simulation – single event as well as continuous simulation of floods – (Schulla, 2012) and is successfully applied by some authors for flood modelling purpose (Jasper et al., 2002; Cullmann et al., 2006; Kunstmann et al., 2006; Herbst et al., 2009; Crochet, 2012). WaSiM simulates water quantity and can be used to evaluate the effects of land use change and human activities after calibration and validation. Experience suggests that uncertainty in both measurements and predictions of flood peaks increases with peak magnitudes (Beven, 2012). The aim of this work is to calibrate and validate WaSiM model for the Zou catchment and to evaluate its capacity in simulating simultaneously the high discharge at different threshold levels and the water balance.

Study Area

Benin Republic is located in West Africa between 06°00' to 12°00' northern latitude and 01°00' to 03°40' eastern longitude. The study area, the Atchérigbé basin, is a sub basin of the Ouémé basin (located at about 90% in Benin) and it has an area of 7035 km^2 (Fig. 1).

Benin lies entirely in the tropical sub-Saharan region with a wet and dry climate. In the north of Benin, a semi-arid environment can be found, made up of savannahs and small mountains, while the south consists of a low coastal plain with marshlands, lakes and lagoons. Its climatic condition is typical of the West African climate, which alternates between the monsoon (from the ocean) during the cool and wet season, and the high thermal amplitude Harmattan wind that blows during the dry season from the Sahara (Aregheore, 2009).

The Ouémé basin is subdivided into three climatic zones according to different rainfall regimes: the north which has unimodal rainfall regime, the south which has bimodal rainfall regime and the middle which is a transition zone between the two previous regimes. The study area is located in this transition zone (between unimodal and bimodal rainfall regime). Rains mostly originate from the Guinean coast. Situated in a wet (Guinean coast) and a dry (Northern Soudanian zone) tropical climate, the Ouémé catchment records annual mean temperatures of 26 °C to 30 °C, annual mean rainfalls of 1280 mm (from 1950 to 1969) and 1150 mm (from 1970 to 2004) at a climatic station close to 9°N latitude (Speth et al., 2010). In the Atchérigbé catchment, the average annual rainfall is 1162 mm for the period 1991 to 1998 and 1219 mm for 1999 to 2010.

Hydrogeology of the Study Area

The geology of Benin consists of two main rock types: metamorphic/crystalline rocks and sedimentary rocks (Faure et al., 1998). Benin lies extensively on Precambrian crystalline basement, known as the Dahomeyides or the Benino-Nigerian shield. It consists predominantly of granites, granitoid gneisses, and gneisses. About 20% of Benin is occupied by sedimentary basins (El-Fahem and Kocher, 2008). The Ouémé catchment mainly characterized by the Precambrian basement consists predominantly of complex migmatites granulites and gneisses, including less abundant mica shists, quarzites and amphibolites (Reichert et al., 2010 cited by Bossa (2012a)). The geological units that are dominant in the Zou catchment are migmatites and gneiss. The Precambrian consists predominantly of complex migmatites, granulites and gneisses, including less abundant quartzites and amphibolites. Synand post-tectonic intrusions of mainly granites, diorites and volcanic rocks are present (Wright and Burgess, 1992; Speth et al., 2010) (Fig. 2).

Barthel et al. (2008) show that the major faults (e.g., the Kandi fault system) dominate the tectonic situation in the northern part of Ouémé basin which includes our study area. Unweathered crystalline rocks with few fractures make poor aquifers. However, weathered granites and other $SiO₂$ -rich crystalline rocks can show a relatively high porosity and permeability.

Figure 2. Geological map of Zou catchment, modified from Speth et al. (2010). Fracture zones often lead to medium to high

Figure 3. Methodological framework for model calibration and validation. The abbreviations are defined as: DEM: Digital Elevation Model, KGE: Kling and Gupta Efficiency, APB: Absolute Percentage Bias, NSE: Nash and Sutcliffe Efficiency.

hydraulic conductivities in the vicinity of faults. Altogether, zones of improved hydraulic properties (i.e., potential aquifers) are limited to the thin (0 to 20 m) weathered zone and to the intensively fractured zones in the vicinity of major faults. According to Vouillamoz et al. (2014), the resulting groundwater bodies usually have a low storage capacity and are not connected to each other. Mean groundwater storage varies significantly from one geological unit to another, i.e., the higher storage value is about 2.5 times the lower one. However, variation in storage within the same geological unit can equal the variation among different units, suggesting that geological units are not the primary control on hydrogeological properties.

Material and Method

Methodological Framework

In WaSiM, the first step is to set up the model considering the digital elevation model (DEM), the hydrometeorological data, land use and soil data (Fig. 3, upper part). The next step is the model calibration at a threshold of 120 m³/s. A semi-automatic calibration approach was adopted consisting of several runs of WaSiM model with parameter sets obtained using the Latin Hypercube Sampling (McKay et al., 2000). The best parameter sets denoted as 'behavioral parameters' were selected considering the KGE greater or equal to 0.5 and the corresponding water balance components in the range of what is regionally observed. After this selection, the Nash and Sutcliffe Efficiency and the Absolute Percentage Bias were computed for the behavioral solutions (simulated discharges with Kling and Gupta Efficiency greater or equal to 0.5 with acceptable water balance components) (Fig. 3, middle part). The last step was to validate the model considering the behavioral parameters previously obtained and the same constraint defined for the calibration. If the validation performances are not satisfactory, the calibration is resumed until satisfactory performances are obtained during both calibration and validation (see Fig. 3). Calibrating and validating the model at the threshold of $120 \text{ m}^3/\text{s}$ imply that it can be used for flood simulation (high discharge) and by accepting only behavioral solutions which water balance components are in line with the observation validate it use for that purpose.

Model Inputs, Model Description and Model Setup

Three data types (geographic, meteorological and hydrologic, see Table 1) are required for this study and they are compiled from different sources. The geographic data are required to represent spatial structures and time-invariant characteristics of the modelled area. They include the following: digital elevation model (DEM) SRTM at 90m resolution (Jarvis et al., 2008), land use data obtained from Rivertwin project (RIVERTWIN, 2007) at 250 m resolution based on both satellite and in situ data, and soil data obtained from SOil and TERrain (SOTER) database established at a scale: 1:200,000 the whole Ouémé catchment, in cooperation with INRAB (Institut National de la Recherche Agricole du Bénin) (Bossa et al., 2014). This soil database was obtained through a combined approach of in situ measurement and remote sensing (satellite). The DEM is the basis for generating other derived data sets like sub-basins, river network, elevation, overland, channel lengths, channel slopes, etc. The soil data serve for deriving soil hydraulic properties like saturated conductivity, organic carbon, bulk density, texture, soil available water content, etc. The hydraulic properties were obtained from field work (Sintondji, 2005; Bossa,

2012b) and using the pedo-transfer functions of Brooks & Corey (1964). 40 different soil types have been identified in that basin. The dominant soil types are Albic Plinthosol (22%), Mollic Gleysol (20%), Ferric Luvisol (20%) and Haplic Arenosols (15%).

The catchment landscape is characterized by forest islands, gallery forest, savannah, woodlands, agricultural lands and pastures. Agriculture and other human activities have led to large-scale deforestation and fragmentations leaving only small relicts of the natural vegetation types within a matrix of degraded secondary habitats (Bossa, 2012, p. 10).

The meteorological data used are rainfall, air temperature, global solar radiation and/or sunshine duration, wind speed, relative humidity obtained from the national directorate of meteorology (Direction Nationale de la Météorologie, DMN). Global radiation was computed based on Amoussa (1992) using the sunshine duration and other parameters. In addition, discharge data were received from Benin's national water directorate (Direction Générale de l'Eau, DGEau). The geographic location of the stations is displayed on Figure 1.

WaSiM is a distributed, deterministic, mainly physically based hydrologic model (Schulla, 2012). It uses physically based algorithms for the vertical soil water fluxes and lateral groundwater fluxes. Lateral fluxes like surface runoff and interflow are treated in a conceptual manner. It can be applied from event-based to continuous simulations. The interpolation of rainfall was done using inverse distance weighting method and a value is automatically assigned to each grid cell based on the interpolated rainfall. As WASIM is a spatially distributed model, it was run at 0.25 km^2 resolution. The hydrological processes are represented in WaSiM using different approaches which are enumerated in Table 2 below.

Model Calibration and Performance Criteria

A time period of 19 years were used for this simulation (1991–2009) at a daily time step. The calibration was done for 1999–2009 with four years of warm up. Thus, the computation of model performance during the calibration was done for the period 2005–2009. This corresponds to the period where we have less missing data compared to the validation period which is 1991–1998.

For model performance evaluation, three criteria are used. In the

following equation, $Q_{sim}^{(thr)}$ is the simulated discharge above the threshold thr, $Q_{obs}^{(thr)}$ is the observed discharge above the threshold thr, N is the total number of observation points and i is a counter.

– The Kling and Gupta Efficient (KGE) (Gupta et al., 2009) consist of the linear correlation coefficient r between observed and simulated discharge, the ratio α of the standard deviation of simulated discharge over the standard deviation of observed discharge and the ratio β of the mean simulated discharge over the mean observed discharge. KGE ranges from $-\infty$ to 1. The closer the KGE to 1, the more accurate the model is. As explained by Gupta et al. (2009), the KGE is adapted for high discharge simulation when it is used for model calibration and validation.

$$
KGE = 1 - \sqrt{(r-1)^2 + (\alpha - 1)^2 + (\beta - 1)^2}.
$$
 (1)

– For evaluating the long term simulation, the absolute percentage bias (APB) which is a measure for total volume differences between measured and observed data was used. This criterion is a performance for water balance simulation. The optimum value of APB is zero, meaning, as this criterion tend to zero, the total observed discharge tend to be equal to the total simulated discharge for the corresponding period. Nevertheless, APB less than 25% is generally accepted.

$$
APB = \frac{\sum_{i=1}^{N} (Q_{obs}^{(thr)} - Q_{sim}^{(thr)})}{\sum_{i=1}^{N} Q_{obs}^{(thr)}}.
$$
 (2)

– The Nash and Sutcliffe Efficiency (NSE) (Nash and Sutcliffe, 1970). NSE ranges from –∞ to 1. An NSE of 1 corresponds to a perfect fit between observed and simulated discharge. An NSE equal to zero indicate that the model predictions does not perform better that the mean of the observed discharge. An NSE less than zero indicate that the mean of the observed data is better than model prediction. In sum, the closer the NSE is to 1, the more accurate is the model prediction. The Nash and Sutcliffe Efficiency in this form is a good indicator for a good simulation of the mean discharge over an area.

$$
NSE = 1 - \frac{\left[\sum_{i=1}^{N} (Q_{sim}^{(thr)} - Q_{obs}^{(thr)})\right]^2}{\sum_{i=1}^{N} (Q_{obs}^{(thr)} - Q_{obs}^{(thr)})^2}.
$$
 (3)

Components	Approach used
Interception	Storage approach; function of LAI
Potential Evapotranspiration	Penman-Monteith (Monteith, 1975)
Real Evapotranspiration	Separate calculation of transpiration from vegetated soils considering all soil layers and evaporation from bare soil for the first soil layer; both reduced by soil water content of the soil layers
Soil module	Richards equation
Infiltration	Richards equation
Overland flow	Horton overland flow
Percolation	Function based on soil saturation and saturated conductivity
Interflow	Storage approach; comparing maximum and actual rate
Base flow	Linear storage approach
Routing	Kinematic wave approach considering retention and translation

Table 2. Hydrological processes and process representation of WaSiM (LAI = leaf area index; PET = potential evapotranspiration); adapted from Cornelissen et al. (2013)

Results and discussions

Model Calibration Statistics

The model was calibrated and validated at the threshold of $120 \text{ m}^3/\text{s}$ and its performance was evaluated in simulating discharge under other thresholds (less than 120 m³/s). Care was taking for the simulation of water balance components. The calibration of the hydrological process model in this catchment was done using daily observed river discharge for comparison. The observed runoff coefficient (quotient of the mean annual discharge and the mean annual rainfall) referred to as CoefRun and the coefficient of real evapotranspiration (ETR) (quotient of mean annual ETR and the mean annual rainfall) referred to as CoefETR derived from previous works done in similar climate conditions to our study area were used as qualitative information.

The model calibration and validation was done by considering only the observed discharge above the threshold of $120 \text{ m}^3/\text{s}$ which corresponds to 1.5 mm/day using the KGE for optimization criteria. Figure 4 shows the KGE, the NSE and the APB for each of the behavioral run for different thresholds. Acceptable results were found with KGE reaching 0.58 and the percentage biases of most of the simulation were lower than 20% at the calibrated threshold of $120 \text{ m}^3/\text{s}$. For thresholds

lower than 120 m^3/s (1.5 mm/day), the KGE is higher reaching a value of 0.81 at the threshold of 0 m^3 /s (using all discharge data). This implies that by concentrating the calibration on the discharge greater than a given threshold, the other parts of the hydrograph are by the same time well simulated. It is of interest to note that despite the poor quality of the simulations according to the NSE at the threshold of 120 m³/s, the same criteria shows very good simulation at lower threshold reaching the value of 0.76 at the threshold of 0 m^3 /s. By the time the KGE criterion is considering a simulation as acceptable, the NSE criteria may consider it as poor simulation at high threshold. This implies that using the NSE as optimization criteria for flood simulation purpose lead to underestimation of the peak discharges but with the KGE, this underestimation will not be as severe compared to the NSE.

As far as the percentage bias (PBias) is concerned, there is no straight forward conclusion about whether calibrating a model at a giving threshold implies a good simulation for lower thresholds. Considering the median (Fig. 4, left, black dotted line), PBias decreases from the threshold of 120 m^3 /s and reaches its optimum value at the threshold of 20 m^3 /s and it continue to decrease up to the threshold of 0 m^3 /s. In absolute value, the PBias is smaller at the calibration threshold than at the threshold of 0.

Figure 4. Model performance for different thresholds considering each behavioral run during calibration at the threshold of 120 m³/s.

Figure 5. Model performance for different thresholds considering each behavioral run during validation at the threshold of 120 m³/s.

Model Validation Statistics

As far as the validation is concerned (Fig. 5), WaSiM performed acceptably with KGE greater than 0.5 at the threshold of $120 \text{ m}^3/\text{s}$. At smaller thresholds, the KGE increases up to 0.85 when considering the whole hydrograph. The smaller the threshold, the higher is the model performance. As it was observed during the calibration, NSE was very small (negative in this case) at the threshold of $120 \text{ m}^3/\text{s}$ while at the threshold of 0, the same simulations were found by the same criteria to be good (NSE up to 0.76). We can conclude that simulations which are found by the NSE as good while considering the whole hydrograph does not imply good simulation for higher thresholds. By considering the KGE as optimization criteria, the chance of getting good simulation for different thresholds is higher than using the NSE as optimization criteria.

Observed and Simulated Discharge by WaSiM

The observed and simulated discharges for the calibration and validation periods are shown on Figure 6. It can be observed that during both the calibration and validation periods, the model simulated acceptably the discharge but the high discharges for some years were

Figure 6. Observed and simulated discharge for the calibration (upper) and the validation (down).

Figure 7. Observed and simulated high discharge (greater or equal to 120 m/s or 1.5 mm/day) by WaSiM.

magnitudes (Beven, 2012). Therefore, it cannot be expected that the simulated discharge peaks will match exactly the observed peaks. The standard practice is to match as well as possible the two signals. Generally, the models acceptably represent the variability in the observed high discharge over the basin.

Generally, stage-discharge rating curves are obtained by extrapolation when a water level is recorded below the lowest or above the highest gauged level. Large errors can result from extrapolation of ratings beyond the range of gauged discharges without consideration of cross-section geometry and controls (Mosley and McKerchar, 1993; Legesse et al., 2003). In fact, during the high discharge period, the water may overflow the stream channel complicating the reliability of the stage-discharge relationship and leading to underestimation of high discharges. Using these discharges during rainfallrunoff modelling will necessarily impact on the performance of the simulation. This may explain the moderate performance of the hydrological

underestimated mainly during the validation period. This may be due to the quality of the data used. In addition, the computation of discharge from observed water levels using the rating curve are bound to many uncertainties mainly for the peak discharges.

The observed and simulated discharges above the threshold of 120 m^3 /s are shown on (Fig. 7). Some of the peaks are well simulated but the model fails to reproduce other peaks. Data quality is a critical issue and even more concerning the high discharge when performing hydrological modeling. Experience suggests that uncertainty in both measurements and predictions of flood peaks increases with peak model in simulating the high discharge in the Zou catchment.

Water Balances

The overall water balance predicted by the model is consistent with the hydroclimatic condition of the basin (Table 3). The interpolated mean annual rainfall computed by WaSiM was 1179 mm for calibration period and 1158 mm for the validation period. The real evapotranspiration (ETR) coefficient predicted by the model was around 84% (87% respectively) of the rainfall and the runoff coefficient was

Table 3. Mean performance criteria and mean water balance components as simulated by WaSiM model during the calibration period (2005:2009) and the validation period (1991:1998) with a threshold of 120 m³/s (1.5 mm/day) based on 10 behavioral simulations

	Calibration			Validation		
	Min	Mean	Max	Min	Mean	Max
KGE	0.50	0.54	0.60	0.50	0.52	0.54
APB	0.32	3.39	8.21	9.85	16.04	19.44
CoefRun	15.24	16.14	18.00	11.46	12.24	13.68
CoefETR	82.13	83.92	84.86	85.84	87.10	87.81
Rainfall	1179	1179	1179	1158	1158	1158
Total Runoff	180	190	212	133	142	158
Interflow	139	151	164	102	110	122
Overland	13	17	21	10	12	16
Baseflow	12	22	35	10	20	30
ETR	968	989	1000	994	1008	1017
ETP	2239	2306	2344	2248	2317	2357
Storage	-5	-1	$\overline{2}$	5	8	9

ETR: real evapotranspiration; ETP: potential evapotranspiration; APB: absolute percentage bias.

CoefRun (CoefETR) is the percentage of the mean annual discharge (ETR respectively) to the mean annual rainfall.

around 16% (12% respectively) during the calibration (validation respectively). In this study, the main water balances components computed by WaSiM are the rainfall, the ETR, the discharge and the variation of the storage. The mean predicted water balance for the calibration and validation periods is in line with what is regionally observed (Bossa, 2012b). According to Diekkrüger et al. (2010), the runoff coefficients within the Ouémé basin vary between 0.10 and 0.26 with the lowest value for savannahs and forests. In accounting for the uncertainty in discharge measurement particularly for high discharge and the performance criteria, we can deduce that the model reproduces acceptably the runoff and it process over the study area.

Different fractions of discharge components were obtained. The proportion of the three components of the runoff (surface runoff, interflow, and baseflow) depends on the physical characteristics of the watershed, the land use and the soil characteristics. According to WaSiM, the interflow is the dominant runoff component in the study area. This fact was also observed by previous studies in Ouémé Catchment (Sintondji, 2005; Giertz et al., 2006; Cornelissen et al., 2013).

The interflow represented respectively 79% and 77% of the total runoff during calibration and validation. The simulated percentages of baseflow which preserves the discharge during the dry season are respectively 12% and 14% of the total discharge during calibration and validation. Similar results were obtained by Legesse et al. (2003) for a catchment located in central Ethiopia (tropical catchment) for which around 10% of the total runoff was simulated as overland flow. The contribution of the overland flow is relatively small and can be explained by the absence of impervious zone in the catchment otherwise the quick flow would have been higher. The mainly cultivated/ grazing land in the watershed favors infiltration in the soil zone and thereby lateral subsurface flow along subsurface channels, macro pores, and fractures (Legesse et al., 2003) and this would explain the relatively small contribution of overland flow to the streamflow.

Conclusions

In this study, we evaluated the performance of WaSiM model in simulating discharge over the Atchérigbé basin. The calibration and validation of this model is necessary and prior to their use for any impact assessment. Statistical and graphical methods were used for model performances evaluation. The WaSiM model was successfully calibrated and validated for the study area during the period 1991–2009 with the KGE greater than 0.5 for calibration and validation periods at different thresholds. Based on the previous studies in the region, WaSiM successfully simulated the water balance of the study area. Due to the uncertainties in discharge measurement and mainly in the peaks estimated from the rating curve, the model performances were not as good for high discharge as for the whole hydrograph. Nevertheless, we realized that by calibrating the models on high discharge above a given threshold, the discharge which are below this threshold are by the same time well simulated. We recommend therefore, the calibration of hydrological models considering discharges threshold different from zero. Obtaining good model performances during calibration and validation based on performance criteria does not necessary imply a good water balance simulation. It is hence advised to account for the water balance components while calibrating hydrological models.

Overall, the model is able to reproduce satisfactorily the hydrological processes in the study area and could be used for impact assessment.

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